## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SUSAN CHRISTENSEN,

Plaintiff,

VS.

MERCK & CO., INC. and NOVARTIS PHARMACEUTICAL CORPORATION.

Defendants.

Civil Action No.: 1:07-ev-07053-JFK

DEFENDANT'S DISCLOSURE STATEMENT

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, counsel for Defendant, Novartis Pharmaceuticals Corporation ("NPC"), hereby discloses and certifies that, to the best of my knowledge and belief, the following is a complete list of NPC's parent corporations and publicly held companies that own 10% or more of NPC's stock:

- 1. Parent Companies:
  - (1) Novartis Finance Corporation, a New York corporation;
  - (2) Novartis Corporation, a New York corporation;
  - (3) Novartis Holding, AG, a Swiss company; and
  - (4) Novartis AG, a Swiss company, publically traded on the New York Stock Exchange.
- 2. Publicly held companies owning more than 10% of NPC stock:
  - (1) Novartis AG indirectly owns a 100% interest in NPC.

Dated: September 10, 2007

Respectfully submitted,

s/ Ethan D. Stein

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## CERTIFICATE OF SERVICE

I hereby certify that on September 10, 2007, the Defendant's Disclosure Statement was filed with the Clerk of the Court and served in accordance with the Southern District's Rules on Electronic Service upon the following parties and participants:

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I further certify that on September 10, 2007, I served a true and correct copy of the foregoing Defendant's Disclosure Statement by United States Mail, postage prepaid, on:

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s/ Ethan D. Stein
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